

## § 1.1 HOMOMORPHISM PERSPECTIVE

$\tau$  - RELATIONAL SIGNATURE (usually finite)

$A$  - RELATIONAL  $\tau$ -STRUCTURE with domain  $A$  and relations for the rel symbols of  $\tau$

A HOMOMORPHISM of  $\tau$ -STRUCTURES  $A, B$  is a function

$$h: A \rightarrow B \text{ s.t. } \underbrace{\bar{a} \in R^A}_{(a_1, \dots, a_n)} \Rightarrow h(\bar{a}) \in R^B_{(h(a_1), \dots, h(a_n))}$$

$CSP(B)$  is the computational problem of deciding whether a given finite  $\tau$ -structure  $A$  maps hom to  $B$

## EXAMPLES

### • $CSP(\mathbb{Z}, <)$

A  $\mathbb{Z}$ -structure can be represented as a DIGRAPH



A has no directed cycles

iff

$<$  extends to a total order on  $A$

iff

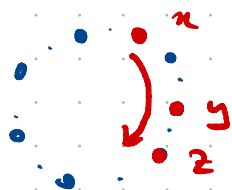
$A \in CSP(\mathbb{Z}, <)$

DEPTH-FIRST ALGORITHM it runs in linear time

$CSP(\mathbb{Z}, <) \in P$ .

### • $CSP(\mathbb{Z}, cyc)$

$cyc(x, y, z)$  iff  $(x < y < z) \vee (y < z < x) \vee (z < x < y)$



GAUL & HEGIDDO (1997) NP COMPLETE (reduce 3SAT to this problem)

### • $CSP(K_k)$

$G \xrightarrow{hom} K_k$ . This is equivalent: is  $G$   $k$ -colourable?

$k=2 \in P$

$k \geq 3$  NP-complete (transformed from 3SAT KARP 1972)

$B$  is CONNECTED if it is NOT the disjoint union of two non-empty structures.

A maximal connected subset of  $B$  is a conn. component

$\mathcal{C}$  class of fin rel struc

CLOSED UNDER HOMOMS if  $\forall A \in \mathcal{C} \quad A \xrightarrow{\text{hom}} B \Rightarrow B \in \mathcal{C}$

// INVERSE HOM  $\forall B \in \mathcal{C} \quad A \xrightarrow{\text{hom}} B \Rightarrow A \in \mathcal{C}$

// DISJOINT UNIONS

$\mathcal{F}$  of  $\tau$ -structs.

$A$  is  $\mathcal{F}$ -free if no  $B \in \mathcal{F}$  maps hom to  $A$

$\text{Forb}^{\text{hom}}(\mathcal{F})$  class of finite  $\mathcal{F}$ -free structures.

EQUIV to CSP  $\tau$  fin. rel. sig.  $\mathcal{C}$  a class of fin  $\tau$ -struct.  $\Leftrightarrow$  for:

①  $\mathcal{C} = \text{CSP}(B)$  for some  $\tau$ -struct  $B$

②  $\mathcal{C} = \text{Forb}^{\text{hom}}(\mathcal{F})$  for  $\mathcal{F}$  a class of fin. connected  $\tau$ -structs

③  $\mathcal{C}$  is closed under disj. unions & inverse horns.  $\otimes$

④  $\mathcal{C} = \text{CSP}(B)$  for some stable  $\tau$ -structure  $B$ .

Proof: ①  $\Rightarrow$  ②  $\mathcal{F} :=$  finite  $\sqrt{\tau}$ -structures not mapping hom to  $B$

$\text{CSP}(B) \subseteq \text{Forb}(\mathcal{F})$ :  $A \xrightarrow{e^{\mathcal{F}}} D \rightarrow B$  then  $A \rightarrow B$   ~~$\otimes$~~

If  $A \rightarrow B$  then some conn comp  $A'$  of  $A$  is s.t.  $A' \rightarrow B$

$A' \in \mathcal{F}$  and  $A' \xrightarrow{\text{hom}} A$  so  $A \notin \text{Forb}(\mathcal{F}) \Rightarrow \text{Forb}(\mathcal{F}) \subseteq \text{CSP}(B)$ .

②  $\Rightarrow$  ③:  $\text{Forb}(\mathcal{F})$  is closed under disj unions & inverse horns.

③  $\Rightarrow$  ④:  $\mathcal{C}' \subseteq \mathcal{C}$  with one structure per isom type.

Let  $B = \bigoplus_{C \in \mathcal{C}'} C$

•  $C \in \mathcal{C} \Rightarrow C \xrightarrow{\text{hom}} B$

• say  $A \xrightarrow{h} B$ . Then  $h(A) \subseteq D$

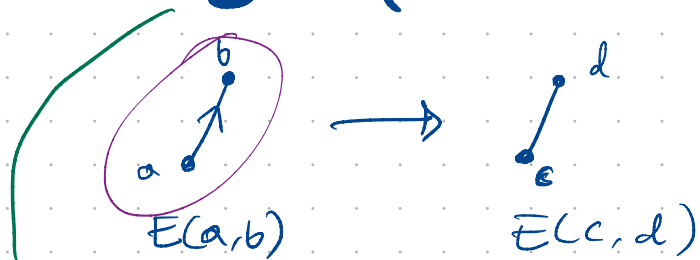
$D$  is the disj. union of structures from  $\mathcal{C}$ .  
USE ③

so  $\text{CSP}(B) = \mathcal{C}$ .  $\blacksquare$

1.1.9  $G$  finite graph.

Q: Is  $G$   $\Delta$ -free?

CSP( $B$ ) for some  $\{E, \bar{E}\}$ -structure



$B$  has to be infinite

=

B is a CORE if all endomorphisms are embeddings.

$$B \xrightarrow{\text{hom}} B$$

$$f: A \rightarrow B \text{ INJECTIVE} \\ \bar{a} \in R^A \Leftrightarrow f(\bar{a}) \in R^B$$

If A is finite it has a CORE which is hom eq. to it

(can unique up to isom)

$$A \begin{array}{c} \xrightarrow{\text{hom}} \\ \xleftarrow{\text{hom}} \end{array} B \quad \text{CSP}(A) \text{ and } \text{CSP}(B) \text{ have the same complexity.}$$

Proof: suppose A not a core.  $f: A \rightarrow A$  end but not an embedding.

$$|f(A)| = |A| \quad \begin{array}{l} - f \text{ is inj.} \\ - f \text{ is an embedding.} \end{array} \quad f(\bar{a}) \in R^A \text{ but } \bar{a} \notin R^A. \quad \#$$

$$|f(A)| < |A|. \quad A \text{ and } f(A) \text{ are hom eq.} \quad A \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{id} \end{array} f(A)$$

By induction on |A| this process terminates.  $\blacksquare$

## § 1.2 SENTENCE EVALUATION PERSPECTIVE

$\tau$ -formula  $\phi(x_1, \dots, x_n)$  is PRIMITIVE POSITIVE if  
 INSTANCE  $\exists x_{n+1} \dots x_m$  (  $\underbrace{\psi_1 \wedge \dots \wedge \psi_k}_{\text{CONSTRAINTS}}$  )  
 atomic formulas or  $\perp$  or  $\top$ .

CSP(B) Given a pp-sentence  $\phi$ , is  $\phi$  true in B

SOLUTION  $f: V \rightarrow B$  s.t.  $B \models \bigwedge \psi_i (f(v))$   
 vars of  $\phi$

$\psi_1 \wedge \dots \wedge \psi_k$  is satisfiable?

### CANONICAL CONJ. QUERIES

$A = (a_1, \dots, a_n)$

$Q(A) = \bigwedge_{R \in \mathcal{C}} R(a_1, \dots, a_k)$

$\mathcal{C} = \{ R(a_1, \dots, a_k) \in \mathcal{R}^A \}$

treating  $a_i$ s as variables

$A \xrightarrow{\text{hom}} B$  iff  $Q(A)$  is satisf in B

### CANONICAL DATABASES

$\phi$  a pp-formula — without = or  $\perp$

$D(\phi)$  has domain the variables of  $\phi$

$(v_1, \dots, v_r) \in \mathcal{R}^{D(\phi)}$  iff  $R(v_1, \dots, v_r)$  appears in the  $\psi_i$

$\phi$  is TRUE in B iff  $D(\phi) \xrightarrow{\text{hom}} B$

$Q(D(\phi)) = \phi$      $D(Q(A)) = A$

J, C, G (1997)  $\tau$  is finite  $B$  is a  $\tau$ -structure.

$R$  has a pp-definition in  $B$ . Then

CSP(B) and CSP(B, R) are linear time eq.

in finite core structures orbits of  $k$ -tuples are pp-def. so we can add constants

**REMOVING "TUPLES" RELS**  $R = \{(b_1, \dots, b_k)\}$  suppose orbit of  $\bar{b}$  under  $\text{Aut}(B)$  is pp-def. Then, there is a poly time reduction from CSP(B, R) to CSP(B).

Proof.  $\phi$  instance of CSP(B, R).

STEP 1: If  $\phi$  has multiple instances of  $R(x_1, \dots, x_k)$   $R(y_1, \dots, y_k)$

REPLACE VARIABLES so that we only have one instance  $R(x_1, \dots, x_k)$

STEP 2: REPLACE  $R(x_1, \dots, x_k)$  with pp-def of  $\text{Aut}(B)$ -orbit of  $\bar{b}$ .

$\psi$  in  $\tau$  pp.

$\phi$  is true in  $(B, R)$   $\iff \psi$  is true in  $B$ .

( $\Rightarrow$ )

( $\Leftarrow$ ) suppose  $s': V_\psi \rightarrow B$  is a sol to  $\psi$ .

$B \models \theta(s(\bar{x}))$ . Take  $\alpha \in \text{Aut}(B)$  s.t.  $\alpha(s(\bar{x})) = \bar{b}$   
pp def of the orbit of  $\bar{b}$

$B \models \psi'(\text{conj. of the constraints of } \psi)$ . So can extend this assignment to  $s: V_\phi \rightarrow B$   
s.t.  $B \models \phi'(\text{conj. of constraints of } \phi)$