

Theorem 6.2.1 Let  $\mathcal{C}$  be an essentially unary operation clone with domain  $\{0,1\}$ . Then every minimal operation above  $\mathcal{C}$  is among one of the following:

- (Post) • a unary operation
- the binary  $(x,y) \mapsto \min(x,y)$
- the binary  $(x,y) \mapsto \max(x,y)$
- the minority operation
- the majority operation

Corollary 6.2.2 All polymorphisms of  $(\{0,1\}; 1/N3)$  are projections.

Proof:  $1/N3$  is not preserved by neither min, max, minority, or majority. The only unary polymorphism is identity.

$$\hookrightarrow (0) \mapsto (0) \notin 1/N3 \quad \square$$

Def 6.2.4 A propositional formula  $\varphi$  in CNF is called reduced if whenever we remove a literal from a clause in  $\varphi$ , the resulting formula is not equivalent to  $\varphi$ .

### Normal forms for Boolean relations

Preserved by ...	definition by ...
minority	conjunction of bin. eq. mod 2
min	conjunction of Horn clauses, i.e. $\bigwedge_i (A_i \vee B_{i+1} \vee \dots \vee B_k)$
majority	conjunction of clauses of size two, i.e. $\bigwedge_i (A_i \vee B_i)$
max	conjunction of dual-Horn clauses, i.e. $\bigwedge_i (\neg A_i \vee B_{i+1} \vee \dots \vee B_k)$

Theorem 6.2.7 Let  $\mathcal{B}$  be a structure with finite signature

### Theorem 6.1.42

Let  $\mathcal{C}$  be an essentially unary clone and let  $f$  be a minimal operation above  $\mathcal{C}$ . Then  $f$  is one of the following types:

- a unary operation
- a binary essential operation s.t.  $f \in \mathcal{C}$
- a quasi Malcev
- a quasi majority
- a  $k$ -ary quasi semiprojection for  $k \geq 3$

### Proof for minority-NF

Let  $R$  n-ary,  $R \subseteq \{0,1\}^n$

$R$  affine iff solution space of system of lin. eq. mod 2.

affine spaces are precisely those closed under affine comb., so

$$\alpha_1 X_1 + \dots + \alpha_k X_k \text{ s.t. } \sum_i \alpha_i = 1.$$

If  $R$  affine:  $R$  preserved by  
sol. sp. of lin. eq.  $(x_1, x_2, x_3) \mapsto x_1 + x_2 + x_3$

This is minority mod 2.

If  $R$  preserved by minority: then  
we can write  $x_1 + \dots + x_k$  k odd  
minority( $x_1, x_2, \text{minority}(x_3, x_4, \text{minority}(\dots x_{k-1}, x_k)))$   
this is contained in  $R$ .  $\leadsto$  Def by lin. eq.

### Proof for min-NF: (and max)

$R$  can be defined as Horn, i.e. every clause is a disjunction with at most one positive literal.

$$a, b \in R \dots R = \bigwedge (x \vee \neg y_1 \vee \dots \vee y_n)$$

### Proof of Thm 6.2.1:

Let  $f$  minimal above  $\mathcal{C}$ , arity  $\geq 2$ .

$\leadsto f \in \mathcal{C}$  cannot be constant.

$\overset{\text{either}}{f}$  is identity  $\leadsto f$  is idempotent

$\overset{\text{either}}{f}$  is  $\neg$   $\leadsto \neg f$  is idempotent  
 $f \in \mathcal{C}$ .

Wlog  $f$  idempotent.

There are only 4 binary idempotent operations on  $\{0,1\}$ , two are projections - projections are not minimal

- the only other two options are  
min max

Next: Semiprojections of arity  $\geq 3$  on  $\{0,1\}$   
are projections.

So: either Malcev or Majority.

If  $f(x,y,z) = y \leadsto f$  minority

If  $f(x,y,z) = x$

$$g(x,y,z) := f(x, f(x,y,z), z)$$

fulfills  $\begin{cases} g(xxz) = x \\ g(xyz) = y \\ g(xyx) = x \end{cases}$

$\left. \begin{array}{l} \text{g majority} \\ \text{in Clone} \end{array} \right\}$

$\left. \begin{array}{l} \text{f minimal} \\ \text{in } \mathcal{C} \end{array} \right\}$

Theorem 6.2.7 (Schaefer) Let  $\mathcal{B}$  be a structure with finite signature over  $\{0, 1\}$ . Then either  $(f_0, f_1; 1 \text{ or } 3)$  has a pp-definition in  $\mathcal{B}$ , and  $CSP(\mathcal{B})$  is NP-complete, or:

- (1)  $\mathcal{B}$  is preserved by a constant operation.
- (2)  $\mathcal{B}$  is preserved by min.
- (3)  $\mathcal{B}$  is preserved by max.
- (4)  $\mathcal{B}$  is preserved by majority.
- (5)  $\mathcal{B}$  is preserved by minority.

In case (1-5) the problem  $CSP(\mathcal{B})$  is in P.

Proposition 6.2.8 Let  $\mathcal{B}$  be a structure over a two-element universe. Then the following are equivalent:

- (1) The relation NAE has a pp-def. in  $\mathcal{B}$ .
- (2)  $\mathcal{B}$  is preserved neither by min, max, minority, majority, nor the constant operation.
- (3) Either the polym. clone of  $\mathcal{B}$  contains only projections, or it is generated by  $x \mapsto \neg x$ .
- (4) Every f-o. formula is equivalent over  $\mathcal{B}$  to a pp-formula.

Proof of 6.2.8

(1)  $\Rightarrow$  (2): NAE is not preserved by min, max, minority, majority, constant.

(2)  $\Rightarrow$  (3): unary ops which remain (only candidate for min op) are id or  $\neg$  id

(3)  $\Rightarrow$  (4): 6.1.20 (there (3)  $\Rightarrow$  (1))

(4)  $\Rightarrow$  (1):  $NAE(x, y, z) : \Leftrightarrow (x \neq y \vee y \neq z)$   $\square$

is a disjunction with at most one positive literal.

$$a, b \in R \dots R = 1(x \vee \neg y_1 \vee \dots \vee \neg y_k)$$

If any of  $y_i^a$  or  $y_i^b$  are 0

$$\text{then also } \min(y_i^a, y_i^b) = 0 \quad (\checkmark)$$

$$\text{So consider } y_i^a, y_i^b = 1$$

$$x^a, x^b \text{ need to be } 1$$

$$\min \text{ is } 1 \rightarrow \in R.$$

Assume R preserved by min.

$\varphi$  reduced CNF defining R.

Suppose  $\varphi$  contains clause C with more than one positive literal.

$$C = (A \vee B \vee \neg C \vee \dots)$$

Reduced: There are  $s_1$ : s.t.  $s_1(A) = 1$   
 $s_1(y) = 0$

There are  $s_2$ : s.t.  $s_2(B) = 1$

$$s_2(y) = 0$$

then  $\min(s_1, s_2) = (0 \ 0 \ \dots)$  does not satisfy C, and is not in R,  
so R not preserved by min

The max-operation has the same  
in dual for dual-Horn  $\square$

Proof for majority-NF:

R has a bijunctive definition.  $\Rightarrow$  preserved by  
majority.

R preserved by majority.

$\varphi$  reduced CNF defining R.

$\square$   
R preserved by majority.

if reduced CNF defining R.

Assume clause C with three literals  $\ell_1, \ell_2, \ell_3$

Reduced  $\rightsquigarrow \exists s_1, s_2, s_3$  s.t.  $s_i$  only  $\ell_i$  eval. to 1

majority( $s_1(x), s_2(x), s_3(x)$ )  $\Rightarrow 0$  everywhere

So majority does not preserve R  $\square$

Theorem: Let A finite, with a  $(d+1)$ -ary  
(Baker-Pixley) near-unanimity operation. Then any  
function  $f: A^n \rightarrow A$  is a term function  
of A if f preserves all subuniverses of  $A^d$ .

Majority:  $d=2$

Proof of 6.2.7  
(Schaefer) (1)  $\text{Pol}(\mathcal{B}) \ni \text{constant}$

$\Rightarrow$  one element core

1.1.12:  $\text{CSP}(\mathcal{B}) \in \mathcal{P}$ .  $\square$

Assume: We don't have constant.

If NAE is pp-definable: by 1.2.8  
 $\text{CSP}(\mathcal{B})$  is NP-hard.

Assume now: NAE is not pp-definable  
by 6.1.2 there is  $f \in \text{Pol}(\mathcal{B})$   
which does not preserve NAE.

If  $f = \text{id} \Rightarrow f$  idemp.

$\hat{f} = \neg \text{id} \Rightarrow \neg f$  idemp.

So wlog assume f idempotent.

f generates minimal operation  $g \in \text{Pol}(\mathcal{B})$

g arity  $\geq 2$ .

By 6.2.1:  $g = \begin{cases} \min & \text{max} \\ \text{minority} & \text{majority} \end{cases}$

Case 1)  $g = \min$ , or  $g = \max$

$\hookrightarrow$  Known from literature that

Horn, dual-Horn are in  $\mathcal{P}$ .

Case 2)  $g = \text{majority}$ :

2SAT is in P (Literature).

Case 3)  $g = \text{minority}$ :

System of lin. eq. mod 2

$\leadsto$  Gauß in P.

□